

"Express Mail" Number: EL039991448US

Date of Deposit: August 20, 1998

Applicant: Roger G. Etter

Attorney's Docket No.: 1298-002

Serial No.: _____

Filed: _____

For: PRODUCTION AND USE OF A PREMIUM FUEL GRADE PETROLEUM COKE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.27(A)) - INDIVIDUAL**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled PRODUCTION AND USE OF A PREMIUM FUEL GRADE PETROLEUM COKE by inventor, Roger G. Etter, described in:

☒ the specification filed herewith

☐ application Serial No. _____, filed _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

THE UNIVERSITY OF CHICAGO

DATE August 14, 1998